

Assisted Review



The Challenge

Financial services organizations have a legal obligation to fulfill their compliance requirements to supervise all electronic communications. However, some organizations don't have the in-house expertise or bandwidth to deploy a new, but experienced, review team fast enough to remediate a situation or to accommodate rapid growth. In many cases, organizations have fallen behind on their message review and supervision workflow. Failure to meet regulatory requirements leaves the organization and its employees exposed to risk either in the form of an audit or a lawsuit, meaning there is often a pressing need for assistance with the review process.

The Solution

Whether you are just getting started, expanding your supervision team, or if you have fallen behind on your supervision obligations, Assisted Review is the solution. This professional service from Smarsh relieves the burden on your internal compliance team by rapidly deploying qualified reviewers to cover the gaps in your compliance system. You can delegate your supervision process to a trusted partner and benefit from the best practices, validated over time, of a team with deep regulatory experience to ensure you keep up with the shifting compliance landscape.

What you get

With Assisted Review, Smarsh provides you with certified reviewers who can be deployed immediately to handle a portion of your review requirements. Your dedicated Smarsh contact not only advocates for your compliance solution, they connect you with best practices for system architecture, policy design, and compliance practices to optimize your supervision process.

Key Features

- > Policy recovery plan & policy revisions (if required)
- > Smarsh Certified Reviewers
- > Best Practices for System Architecture
- > Scalable Resources
- > Dedicated internal champion
- > Onboarding and Training
- > Documented Review Specifications

Rest assured that your organization can meet its compliance obligations to supervise messages by contacting [1-866-762-7741](tel:1-866-762-7741) today.