



The Challenge

Financial services organizations know that supervision is an important part of their regulatory requirements, yet many have struggled to implement an efficient supervision system. This exposes organizations to the risk of compliance violations. Whether the challenge is with adopting new technology, internal resources being stretched across competing priorities, or the knowledge barrier and time commitment associated with the review workflow simply being too high, there is often a pressing need for assistance with establishing the right supervision system. Organizations need help getting up and running with supervision technology, mobilizing review teams and using their resources effectively in order to stay compliant.

The Solution

The Supervision Architect service from Smarsh enables your organization to leverage our product and regulatory experience to rapidly establish an efficient supervision system. Supervision Architect eases the burden of new technology implementation by setting up an efficient and intuitive supervision architecture, catered to your unique requirements. Our experts are on hand to empower your compliance team with well-crafted workflows and industry best practices to accomplish the task at hand.

What you get

With Supervision Architect, Smarsh helps you design and implement a world-class compliance supervision workflow.* Your reviewers can get up to speed and running immediately, regardless of experience and with minimal distraction to your business.

Key Features

- > **Consultation** – we work with you to determine the best practices and industry-proven workflows for your organization’s needs
- > **System Implementation & Architecture** – our consultants do the heavy lifting of queue creation, hierarchy and policy setup, creating a system from scratch or modulating a previous approach
- > **Task-specific training** – we ensure your reviewers are up to speed with the system and able to operate within it right away.

Hit the ground running on day one, and rest assured that your organization can meet its compliance obligations to supervise messages by contacting [1-866-762-7741](tel:1-866-762-7741) today.

**Pre-requisites: Policy Library, Supervision Module*