

Spot the risk that matters, faster.

## The Challenge

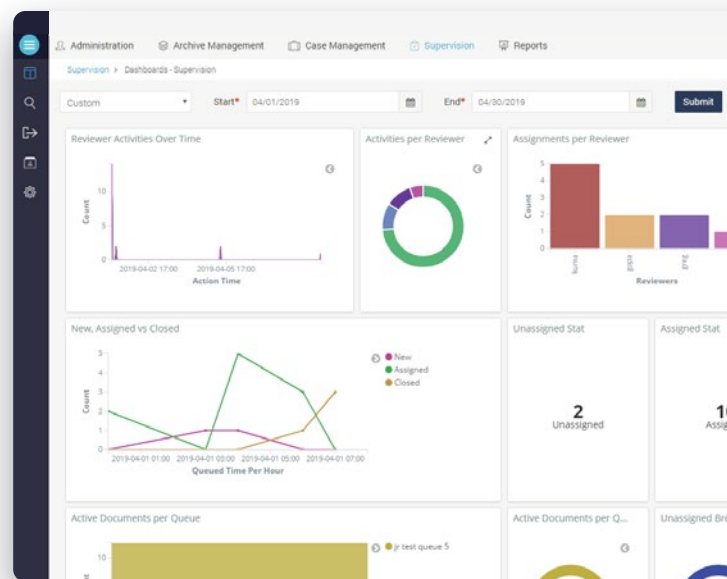
Financial services organizations need to stay ahead of the risk inherent in their electronic communications. The explosion of modern communication channels has resulted in an increased volume and complexity of conversation data. Compliance teams need to quickly and thoroughly review large volumes of data at a granular level in order to meet their regulatory requirements. They need to demonstrate to auditors the effectiveness of their supervision system and protect their organization from risk.

## The Solution

Enterprise Supervision is a specialized supervision application, purpose-built to suit the complex compliance needs of large, regulated organizations. With the ability to ingest full context content from over 80 different communication channels, review is easier and more effective. Compliance teams are then able to build customized and granular supervision policies to accurately reveal violations. This, together with other technological innovations, reduces false positives and negatives, enabling reviewers to focus only on the real risk and not the noise. The ability to integrate with leading third-party surveillance and analytics applications enables compliance teams to become more effective now, and in the future.

## Key Benefits

- ✓ Support for 80+ channels in full conversational context
- ✓ Unified user identities across all channels
- ✓ High-speed search, review and data export
- ✓ Purpose-built supervision reviewer workflow
- ✓ At-a-glance data visualization and customizable dashboards
- ✓ Data enrichment through integrations with downstream surveillance tools
- ✓ Scalable, customizable policies



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## Key use cases

### Demonstrating enforcement of supervisory policies

Combine granular policies with reporting tools to prove the efficacy of your compliance reviews against vast volumes of data.

### Supervising regulated and non-regulated users

Investigate compliance risks throughout your organization and access an audit trail of all actions taken during the supervision process.

### Delivering review data for behavioral analysis and trade reconciliation

Send data to downstream surveillance applications and feed valuable metadata back into the archive for enhanced supervision.

### Inspection for policy violations

Leverage granular, lexicon-based policies to detect what is being communicated inside or outside your organization.

### Reduction of false positives

Technical solutions that reduce volume of duplications and false positives for improved review efficiency.

### Responding quickly to ad-hoc regulatory inquiries

Make use of powerful, fast, faceted search capabilities and self-service export tools to deliver requested data on-demand.

## Enterprise Supervision integrates seamlessly with the Enterprise Archive

 Enterprise Archive

### An archive architected for scalability and performance

Unlike legacy email archives, Enterprise Archive preserves full conversational context across 80+ communication channels enabling real-time interactivity, knowledge and data insights. The archive scales to meet each client's specific requirements and performance goals. It can be scaled up or down as data volume changes, with no impact to system performance with your organization across ingestion, search, review and export. The Enterprise Archive integrates seamlessly with Connected Capture, Connected Apps, and your technology ecosystem.



**Contact an account executive** to learn how we can help you meet your supervision needs.