

It's not a question of IF,
It's a question of when.



**Routine regulatory audits are a cost of doing business,
and so is the need to satisfy the auditor's data requests.**

The self-service tools within the Smarsh Connected Archive enable customers to perform their own data extractions, but when things are busy or the request is complicated, the best option may be to bring in a team of Smarsh data experts to do it for you. However, because you weren't expecting the audit, you also weren't expecting the cost of professional assistance.

Introducing Audit Assist™, a service from Smarsh to help you respond to routine audits quickly and efficiently, and pro-actively solve the issue of unexpected costs. You give us the search parameters, and our team of experts fulfills the audit request when it happens, all for a low monthly fee.

FINRA STATISTICS*

In 2015, FINRA oversaw
nearly 4,000 firms



and conducted more
than 4,100 exams

* Source: www.finra.org

**HIGHLIGHTS OF
AUDIT ASSIST™:**

- ✓ A predictable monthly subscription that provides the solution you need when you need it most
- ✓ Each Audit Assist™ covers one audit response per year, with up to 5 hours of professional assistance
- ✓ Multiple Audit Assist™ subscriptions can be purchased to cover multiple audits per year
- ✓ Searches and data extractions conducted on your behalf by an experienced team of data professionals

Audits are challenging - let the experts at Smarsh help.
Contact us today to learn more, or visit smarsh.com/audit-assist